



**MAIL STOP PCT**

Docket No. 292943US40PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Kazuhiko MINAMI, et al.

SERIAL NO: 10/584,197

GAU:

FILED: June 23, 2006

EXAMINER:

FOR: HEAT EXCHANGER AND METHOD FOR MANUFACTURING THE SAME

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Richard D. Kelly

Registration No. 27,757

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

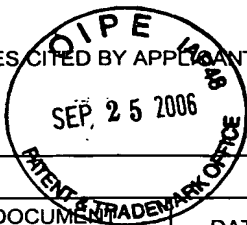
Surinder Sachar

Registration No. 34,423

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
292943US40PCTSERIAL NO.  
10/584,197

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Kazuhiko MINAMI, et al.FILING DATE  
June 23, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AN	2001 1136	01/09/2001	JP (with English abstract)		NO
	AO	2002 507488	03/12/2002	JP (equivalent of US 6 432 221)		NO
	AP	61 293699	12/24/1986	JP (with English abstract)		NO
	AQ	1 143794	06/06/1989	JP (with English abstract)		NO
	AR	9 1384	01/07/1997	JP (with English abstract)		NO
	AS	2001 138038	05/22/2001	JP (with English abstract)		NO
	AT	1 218795	08/31/1989	JP (with English abstract)		NO
	AU	6 55293	03/01/1994	JP (with English abstract)		NO
	AV	6 182581	07/05/1994	JP (with English abstract)		NO
	AW	2003 225760	08/12/2003	JP (with English abstract)		NO
	AX	1 107961	04/25/1989	JP (with English abstract)		NO
	AY	2003 514671	04/22/2003	JP (equivalent of WO 01 38040)		NO
	AZ	59 10467	01/19/1984	JP (with English abstract)		NO

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/584,197

Filed: June 23, 2006

Kazuhiko MINAMI, et al.

Docket No. 292943 US

#### STATEMENT OF RELEVANCY

- 1) References AN-AV have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AW-AZ are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.

	Docket No.: 292943US40PCT	Serial No.: 10/584,197
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Kazuhiko MINAMI, et al.	
	Filing Date: June 23, 2006	Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	292803US40PCT	10/587,173	07/25/06		MINAMI, et al.
	292943US40PCT*	10/584,197	06/23/06		MINAMI, et al.
	293253US40PCT	10/585,658	07/07/06		MINAMI, et al.

Examiner

Date Considered

\*Present Application; listed for information

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